Doc description: Information Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

10722018 **Application Number** Filing Date 2003-11-25 **INFORMATION DISCLOSURE** First Named Inventor Nishimaki STATEMENT BY APPLICANT Art Unit 3694 (Not for submission under 37 CFR 1.99) **Examiner Name** Trotter, Scott S OTPE Attorney Docket Number 21242/280A 3421-CIP-II

APR 2 7 2000

	6/				U.S.	PATENTS			
Examiner Initial*		Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant ment	Rele	es,Columns,Lines where vant Passages or Relevant res Appear
	1	6233566	B1	2001-05	-15	Levine et al.			
	2 '	5915209		1999-06	-22	Lawrence			-
	3	6014643		2000-01	-11	Minton			
	4	5845266		1998-12	-01	Lupien et al.			
If you wisl	h to a	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		
		Y	U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	tion	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Relevant es Appear
	. 1						·		
If you wisl	n to ac	l dd additional U.S. Publi	shed Ap	plication	citatio	l n information p	lease click the Add	d butto	on.
			" - ,	FOREIG	N PAT	ENT DOCUM	ENTS		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document		Pages, Columns, Lines where Relevant Passages or Relevant

			FORI	EIGN FAI	ENI DOCOM	IEN 13		
aminer tial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	Т5

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10722018		
Filing Date		2003-11-25		
First Named Inventor	Nish	nimaki		
Art Unit		3694		
Examiner Name Trotte		er, Scott S		
Attorney Docket Number		21242/280A 3421-CIP-II		

							•		
	1	WO 01/25996	wo	A1	2001-04-12	Bloomberg LP			
	2	EP 1 118 953	ЕР	A2	2001-07-25	OptiMark, Inc.			
	3	WO 01/43026	wo	A1	2001-06-14	Blue Spike, Inc.			
	4	JP 2001-216403	JP		2001-08-10	Shirakawa		X	
	5	JP 2001-202469	JP		2001-07-27	Sony Corp		X	
	6	JP 2001-236456	JP		2001-08-31	Terada		X	
If you wis	h to a	dd additional Foreign P	atent Document	citation	information pl	lease click the Add butto	n		
		-	NON-PATE	NT LITE	ERATURE DO	CUMENTS	· · · · · · · · · · · · · · · · · · ·		
Examiner Initials*	Cite No		nal, serial, symp	osium,	catalog, etc), o	the article (when approp date, pages(s), volume-is	•	Т5	
	1	E*TRADE, "After hours trading," http://web.archive.org/web/ 2000621204404/www.etrade.com, 2000, pages 1-6							
	2	MRUTYUNJAYA SWAIN et al., "Database programming using java," Proceedings IEEE SoutheastCon, April 5, 2002, pages 220-225, IEEE							
	3	ALAN LIU et al., "Security issues on server-side credit-based electronic payment systems," Proceedings of the 3rd International Symposium on Electronic Commerce, October 18, 2002, pages 47-57, IEEE							
		<u></u>						4	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		10722018		
Filing Date	<u></u>	2003-11-25		
First Named Inventor	Nishir	maki		
Art Unit		3694		
Examiner Name Trotte		er, Scott S		
Attorney Docket Numb	er	21242/280A 3421-CIP-II		

	STAVROS PAPASTAVROU et al., "A survey on the java-based approaches for web database connectivity," 10th Mediterranean Electrotechnical Conference Vol.1, May 29, 2000, pages 290-293, IEEE								
If you wis	If you wish to add additional non-patent literature document citation information please click the Add button								
EXAMINER SIGNATURE									
Examiner	Examiner Signature Date Considered								
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
Standard ST 4 Kind of doo	r.3). ³ I cument	For Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter officenese patent documents, the indication of the year of the reign of the Emperopriate symbols as indicated on the document under WIPO Standard in is attached.	eror must precede the sei	rial number of the patent doc	ument.			